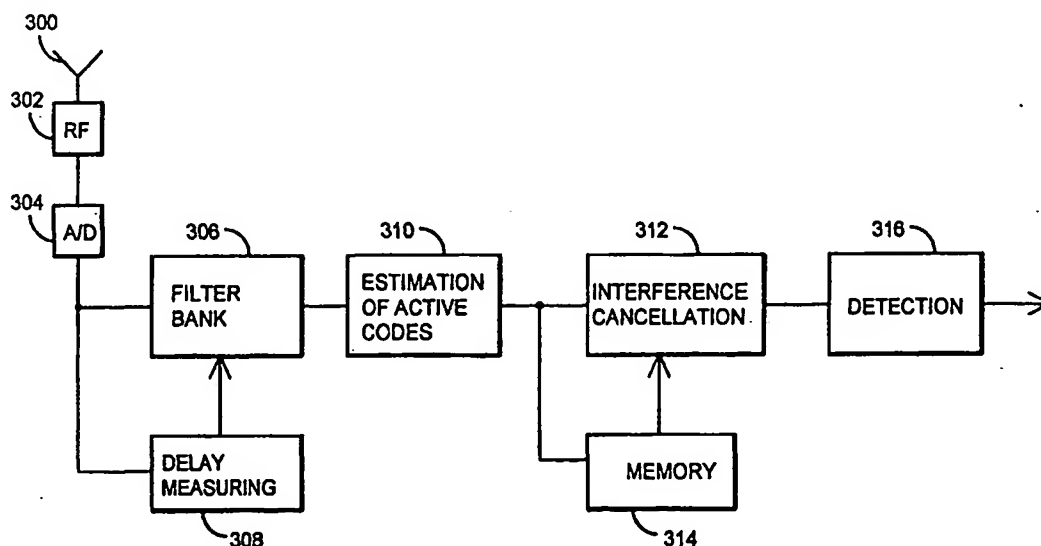




## INTERNATIONAL APPLICATION PUBLISHED UNDER THE PATENT COOPERATION TREATY (PCT)

<b>(51) International Patent Classification <sup>6</sup> :</b>  <b>H04Q</b>	<b>A2</b>	<b>(11) International Publication Number:</b> <b>WO 98/38805</b>  <b>(43) International Publication Date:</b> 3 September 1998 (03.09.98)
<b>(21) International Application Number:</b> PCT/FI98/00174  <b>(22) International Filing Date:</b> 25 February 1998 (25.02.98)  <b>(30) Priority Data:</b> 970887 28 February 1997 (28.02.97) FI  <b>(71) Applicant (for all designated States except US):</b> NOKIA MOBILE PHONES LTD. [FI/FI]; Keilalahdentie 4, FIN-02150 Espoo (FI).  <b>(72) Inventors; and</b> <b>(75) Inventors/Applicants (for US only):</b> WICHMAN, Risto [FI/FI]; Ilmarinkatu 16 B 7, FIN-00100 Helsinki (FI). HOTTINEN, Ari [FI/FI]; Kielotie 30-32 C 25, FIN-01300 Vantaa (FI).  <b>(74) Agent:</b> PATENTTITOIMISTO TEKNOPOLOIS KOLSTER OY; c/o Kolster OY AB, Iso Roobertinkatu 23, P.O. Box 148, FIN-00121 Helsinki (FI).		<b>(81) Designated States:</b> AL, AM, AT, AU, AZ, BA, BB, BG, BR, BY, CA, CH, CN, CU, CZ, DE, DK, EE, ES, FI, GB, GE, GH, GM, GW, HU, ID, IL, IS, JP, KE, KG, KP, KR, KZ, LC, LK, LR, LS, LT, LU, LV, MD, MG, MK, MN, MW, MX, NO, NZ, PL, PT, RO, RU, SD, SE, SG, SI, SK, SL, TJ, TM, TR, TT, UA, UG, US, UZ, VN, YU, ZW, ARIPO patent (GH, GM, KE, LS, MW, SD, SZ, UG, ZW), Eurasian patent (AM, AZ, BY, KG, KZ, MD, RU, TJ, TM), European patent (AT, BE, CH, DE, DK, ES, FI, FR, GB, GR, IE, IT, LU, MC, NL, PT, SE), OAPI patent (BF, BJ, CF, CG, CI, CM, GA, GN, ML, MR, NE, SN, TD, TG).  <b>Published</b> <i>Without international search report and to be republished upon receipt of that report.</i>

(54) Title: A RECEPTION METHOD AND A RECEIVER



## (57) Abstract

The invention relates to a reception method and a receiver in a system employing a CDMA method, which comprises means (304) for digitizing a received transmission. The received transmission comprises a desired signal and a plurality of interfering signals, which signals are each multiplied by a code of a known code set. To cancel effectively the multiple access interference, the receiver comprises means (312) for cancelling at least some of the interfering signals from the desired signal, and means (316) for detecting the desired signal after the interference cancellation, means (306, 310) for estimating the number of interfering signals and the codes used by them in a received transmission, and means (312) for cancelling the effect of perceived signals from the desired signal.

**FOR THE PURPOSES OF INFORMATION ONLY**

Codes used to identify States party to the PCT on the front pages of pamphlets publishing international applications under the PCT.

AL	Albania	ES	Spain	LS	Lesotho	SI	Slovenia
AM	Armenia	FI	Finland	LT	Lithuania	SK	Slovakia
AT	Austria	FR	France	LU	Luxembourg	SN	Senegal
AU	Australia	GA	Gabon	LV	Latvia	SZ	Swaziland
AZ	Azerbaijan	GB	United Kingdom	MC	Monaco	TD	Chad
BA	Bosnia and Herzegovina	GE	Georgia	MD	Republic of Moldova	TG	Togo
BB	Barbados	GH	Ghana	MG	Madagascar	TJ	Tajikistan
BE	Belgium	GN	Guinea	MK	The former Yugoslav Republic of Macedonia	TM	Turkmenistan
BF	Burkina Faso	GR	Greece			TR	Turkey
BG	Bulgaria	HU	Hungary	ML	Mali	TT	Trinidad and Tobago
BJ	Benin	IE	Ireland	MN	Mongolia	UA	Ukraine
BR	Brazil	IL	Israel	MR	Mauritania	UG	Uganda
BY	Belarus	IS	Iceland	MW	Malawi	US	United States of America
CA	Canada	IT	Italy	MX	Mexico	UZ	Uzbekistan
CF	Central African Republic	JP	Japan	NE	Niger	VN	Viet Nam
CG	Congo	KE	Kenya	NL	Netherlands	YU	Yugoslavia
CH	Switzerland	KG	Kyrgyzstan	NO	Norway	ZW	Zimbabwe
CI	Côte d'Ivoire	KP	Democratic People's Republic of Korea	NZ	New Zealand		
CM	Cameroon			PL	Poland		
CN	China	KR	Republic of Korea	PT	Portugal		
CU	Cuba	KZ	Kazakhstan	RO	Romania		
CZ	Czech Republic	LC	Saint Lucia	RU	Russian Federation		
DE	Germany	LI	Liechtenstein	SD	Sudan		
DK	Denmark	LK	Sri Lanka	SE	Sweden		
EE	Estonia	LR	Liberia	SG	Singapore		

## A RECEPTION METHOD AND A RECEIVER

### FIELD OF THE INVENTION

The present invention relates to a reception method in a system employing a code division multiple access method, in which a received transmission is digitized, the received transmission comprising a desired signal and  
5 a plurality of interfering signals, which signals are each multiplied by a code of a known plurality of codes and in which method at least some of the signals interfering with the desired signal are cancelled and the desired signal is detected after the interference cancellation.

### 10 DESCRIPTION OF THE PRIOR ART

The present invention can specifically be applied to CDMA data communication systems. CDMA is a multiple access method based on a spread spectrum technique, and it has been recently put into use in cellular radio systems in addition to previously used FDMA and TDMA. CDMA has  
15 many advantages over the prior methods, such as simplicity of frequency planning and spectrum efficiency.

In the CDMA method, the narrowband data signal of the user is multiplied to a relatively wide band by a spreading code having a considerably broader band than the data signal. In known test systems, bandwidths such as  
20 1.25 MHz, 10 MHz and 25 MHz have been used. In connection with multiplying, the data signal spreads to the entire band to be used. All users transmit by using the same frequency band simultaneously. A separate spreading code is used over each connection between a base station and a mobile station, and the signals of different users can be distinguished from one another in the re-  
25 ceivers on the basis of the spreading code of each user. The intention is to select the spreading codes in such a way that they are mutually orthogonal, i.e. they do not correlate with one another.

Correlators in conventionally implemented CDMA receivers are synchronized with a desired signal which they recognize on the basis of the spreading code. In the receiver the data signal is restored to the original band  
30 by multiplying it by the same spreading code as in the transmission step. Ideally, the signals that have been multiplied by some other spreading code do not correlate and are not restored to the narrow band. In view of the desired signal, they thus appear as noise. The object is to detect the signal of a de-  
35 sired user from among a number of interfering signals. In practice, the

spreading codes correlate, and the signals of other users make the detection of the desired signal more difficult by distorting the received signal linearly. This interference caused by the users to one another is called multiple access interference.

5           Several reception methods have been developed to eliminate the deterioration of the signal quality caused by the multiple access interference. These comprise both a conventional one user reception and methods allowing a simultaneous multiuser detection. In a conventional one user reception the received transmission is correlated with a linear, matched filter which ignores  
10 all other signals in the transmission than that of a desired user. This is simple to implement, but it is susceptible to a so called near-far phenomenon, in which a transmitter close to a base station may jam the signals of transmitters located farther away.

          An optimal multiuser detector (MUD) comprises a plurality of linear,  
15 matched filters and a Viterbi-detector. One known linear multiuser detector is a LS detector (least squares detector) which is called a decorrelating detector. This detector requires mutual cross-correlations of codes used. Also other linear detectors have been developed, based on a criterion of the minimum mean square error (MMSE). These methods are also akin to subspace meth-  
20 ods, the aim of which is to estimate the signal subspace of a received signal. A drawback of these methods is the complexity which grows exponentially with the number of users.

## BRIEF DESCRIPTION OF THE INVENTION

          The purpose of the present invention is to provide a reception  
25 method with which the drawbacks of known methods can be eliminated. The purpose of the invention is to provide a reception method and a receiver that is not too complicated to implement and that cancels efficiently the effect of multiuser interference from a desired signal.

          This is achieved with a method of the type described in the pream-  
30 ble, the method being characterized in that the number of interfering signals and the codes used by them are estimated in a received transmission, and the effect of perceived signals are cancelled from a desired signal.

          The invention also relates to a receiver in a system employing a code division multiple access method, the receiver comprising means for dig-  
35 itizing a received transmission comprising a desired signal and a plurality of interfering signals, each multiplied by a code of a known code set, and which

receiver comprises means for cancelling at least some of the interfering signals from the desired signal and means for detecting the desired signal after the interference cancellation. The receiver in accordance with the invention is characterized in that it comprises means for estimating the number of interfering signals and the codes used by them, in a received transmission, and means for cancelling the effect of perceived signals from the desired signal.

Several advantages are achieved with the method of the invention. To function, the method of the invention only needs information on code set used. For instance, information on the codes of active users or the number of users need not to be signalled to the receiver. Thus the signalling load of the system does not increase. The method of the invention is not susceptible to errors either. In case the number of errors grows in estimation for some reason or other, the quality of the signal does not change suddenly, but the quality deteriorates gradually.

The codes and number of active users need not necessarily be estimated in connection with every symbol detection either, since these parameters change slowly as compared with the symbol speed. As a consequence the processing capacity required can be reduced, if desired. Thus the method can be applied with a desired accuracy depending on the processing capacity of a receiver concerned.

## DESCRIPTION OF THE DRAWINGS

In the following the invention will be described in greater detail with reference to the examples in accordance with the accompanying drawings, in which

Figure 1 illustrates a system where the invention can be employed;  
Figure 2 illustrates the use of a time window,  
Figure 3 is a block diagram illustrating the structure of a receiver in accordance with the invention.

## DESCRIPTION OF PREFERRED EMBODIMENTS

The present invention can thus be applied to systems employing a code division multiple access method, for example, to a cellular radio system. Figure 1 illustrates an example of the structure of a typical CDMA radio system as concerns one cell. In one cell, the system typically comprises one base station 100 and a plurality of terminals 102 to 106 having bidirectional connec-

tions 108 to 112 with the base station. A separate frequency band used by all terminals is reserved for both transmission directions (downlink and uplink).

It is assumed in the following example that the invention is applied to a terminal 102. The terminal receives a signal 108 from a base station 100.

5 Since the same frequency band is in use for all connections, the composite signal received by the terminal 102 also comprises signals 110 and 112 that are intended for terminals 104 and 106. When sending the signals, the base station has multiplied a signal intended for each terminal by a spreading code used in the connection concerned. Thus each connection uses a separate  
10 spreading code that differs from others, the codes having been selected in such a way that they interfere with one another as little as possible, in other words that they are as mutually orthogonal as possible. The spreading codes in use in the base stations at any given time form a code set.

In a terminal the composite signal received is converted from a radio frequency into an intermediate frequency and is sampled. To find out delays of signal components, an impulse response of the signal is measured,  
15 after which the signal is supplied to a plurality of matched filters of the prior art, where adequate parameters for detection are calculated, the number of parameters being in the range of  $M = \sum_i K_i L_i$ , where  $K_i$  and  $L_i$  refer to the number  
20 of code sets and propagation paths of a base station  $i$ . Thus the received signal comprises signals intended for a plurality of terminals, which signals have propagated multipath-wise on the radio path.

For interference cancellation, the purpose of the receiver is first to find out the number of active users and the codes by which the user signals  
25 have been multiplied. The receiver is aware of the code set used by the base station. The receiver determines the number of active users and interfering users on the basis of the outputs of the matched filters. There are different alternatives that can be applied. The received signal is observed by means of a sliding observation window. This means that a certain number of samples is  
30 selected, for instance ten successive samples, and the decision is made on the basis of the outputs of the matched filters using these ten samples.

The number of signals and the codes used are estimated by correlating a received transmission by a plurality of matched filters with the codes of a known code set, the results obtained are averaged over a predetermined  
35 time window, i.e. over the number of samples, the averaged filter outputs are compared with a predetermined threshold value, and the codes whose power

exceeds the predetermined threshold value are selected. Or, if a receiver is designed, for instance, for the interference cancellation of ten users, the ten interference paths worst exceeding the threshold are located, and they are included in the interference cancellation.

5 In another alternative of the invention, the number of interfering signals and the codes used are estimated by correlating the received transmission by a plurality of matched filters with the codes of a known code set, one sample at a time for a predetermined time window, the results obtained are arranged sample-specifically in the order of magnitude, and the codes and paths  
10 that appear most frequently among the strongest ones are selected. For example, if the length of a time window is ten samples, and the output of a matched filter corresponding to any one code appears at least, say, five times among, for instance, the four strongest ones, so it can be assumed that the code concerned is in use in some interfering connection. When this method is  
15 employed, no predetermined threshold values are needed. In the above example the numerical values used are given just by way of an example.

When the number of interfering connections and the codes used in the connections have been determined, the task is to cancel the effect of interference from a desired signal. For this purpose, the cross-correlations between codes have to be calculated with measured delays.  
20

The mutual cross-correlations with different delays between codes of a known code set can be stored in advance in the memory of a receiver, and when removing the effect of perceived signals from a desired signal the cross-correlation between the codes is calculated with the delay difference of  
25 each code using the correlation values stored in the memory. Another alternative is to calculate the cross-correlations directly whenever needed. In this case the number of calculations increases, but on the other hand, the memory is needed substantially less.

In one embodiment of the invention a function of the cross-correlation matrix, for instance its inverse matrix or the mutual cross-correlations with different delay values, between the codes of a known code set, on the accuracy of spreading code bit duration, are stored in advance in the memory of a receiver. If a delay difference is between two pre-stored values, the correct value is obtained by interpolation from the values stored in the  
35 memory.

The example illustrated in Figure 1 is further studied in view of a terminal 102. Thus the terminal 102 receives from a base station a signal 108 whose spreading code it naturally recognizes, as well as it recognizes the code set used in the base station. Naturally, the terminal also receives all other signals of the base station transmitted with the same frequency, in this case the signals 110 and 112 intended for the terminals 104 and 106. However, the terminal 102 is not aware of the existence of these signals beforehand, but it regards these signals as unidentified interference. Thus in the solution of the invention the terminal works out the number of signals and spreading codes used by them in the above-described ways. Figure 2 illustrates this process. The received composite signal is thus examined by utilizing a sliding time window. In Figure 2, it is assumed that a time window  $T_w$  measures ten samples. The desired signal 108 comprises symbols 200 to 206. The terminal goes through the code set used in the base station by means of the matched filter bank, and observes that a good correlation is found to some specific spreading codes, these correlations correspond to the signals 110 and 112. When other spreading codes 208, 210 are used, high effective values do not appear in the outputs of the matched filters. The time window is then shifted for a desired number of samples and the measuring is performed again, and this is repeated a desired number of times. From these results the terminal is able to deduce the number of interfering signals and the codes used by them, and on the basis of this information the interference cancellation can be performed.

Figure 3 is a block diagram illustrating an example of a structure of a receiver according to the invention. A receiver comprises an antenna 300 supplying a received signal through radio frequency parts 302 to sampling means 304, typically to an analog-to-digital converter where the signal is sampled with a desired sampling frequency. A sampled signal is applied to a filter bank 306 comprising a plurality of matched filters. The matched filters can be implemented with solutions of the prior art. From the sampling means 304, the sampled signal is also applied to delay estimating means 308 where an impulse response is calculated by using known methods. Estimated delays are applied to the filter bank 306.

In the filter bank the received transmission is correlated with codes of a predetermined code set. The output of the filter bank is applied to processing means 310 which estimate the number of interfering signals and the



codes used by them from the received transmission, by using the above-described methods. The processing means 310 can preferably be implemented program-wise, for example, by means of a signal or general processor, or alternatively, by detached components, which is obvious to one skilled  
5 in the art.

In the processing means 310 the results received from the filter bank 306 can thus be averaged over a predetermined time window, the averaged outputs of the filters can be compared with a predetermined threshold value, and the codes whose power exceeds the predetermined threshold  
10 value can be selected.

In accordance with another preferred embodiment of the invention, in the processing means 310, the results received from the filter bank can be arranged sample-specifically in the order of magnitude, and the codes that appear most frequently among the strongest ones, during several successive  
15 measuring time windows, are selected.

Further, the receiver comprises means 312 for cancelling the effect of detected signals from a desired signal by using known methods of interference cancellation. The means 312 for interference cancellation can preferably be implemented program-wise, for example by means of a signal or general  
20 processor. The receiver also comprises memory means 314 storing the mutual cross-correlations between the codes of a known code set, with different delay values on the accuracy of spreading code bit duration. If the delay difference is between two stored values, the means 312 for interference cancellation calculate the correct value by interpolation.

Further, the receiver comprises means 316 for detecting a desired  
25 signal after the interference cancellation. The detection means 316 can be implemented with conventional methods. From the detection means the signal is applied to other parts of the receiver.

Naturally, the receiver also comprises other components, such as  
30 filters and amplifiers, which is obvious to one skilled in the art. Since they are irrelevant to the invention, they are not included in Figure 3 nor in the description.

Even though the invention is described above with reference to the example according to the accompanying drawings, it is clear that the invention  
35 is not restricted thereto, but it may be modified in many ways within the scope of the inventive idea disclosed in the appended claims.

## CLAIMS

1. A reception method in a system employing a code division multiple access method, in which

a received transmission is digitized,

5 the received transmission comprising a desired signal and a plurality of interfering signals, which signals are each multiplied by a code of the known plurality of codes, and in which method

at least some of the interfering signals are cancelled from the desired signal, and

10 the desired signal is detected after the interference cancellation,

**characterized** in that the number of interfering signals and the codes used by them are estimated in a received transmission, and that the effect of detected signals is cancelled from the desired signal.

2. A method as claimed in claim 1, **characterized** in that the  
15 number of interfering signals and the codes used by them are estimated by correlating a received transmission by a plurality of matched filters (306) with the codes of a known code set, the results obtained are averaged over a predetermined time window, the averaged outputs are compared with a predetermined threshold value, and the codes whose power exceeds a predetermined  
20 threshold value are selected.

3. A method as claimed in claim 1, **characterized** in that the number of interfering signals and the codes used by them are estimated by correlating a received transmission by a plurality of matched filters (306) with the codes of a known code set, one sample at a time for a predetermined time  
25 window, the results obtained are arranged sample-specifically in the order of magnitude, and the codes appearing most frequently among the strongest ones are selected.

4. A method as claimed in claim 1, **characterized** in that the mutual cross-correlations with different delay values between codes of a  
30 known code set are stored in advance in the memory (314) of a receiver, and that when cancelling the effect of perceived signals from a desired signal, the cross-correlation between the codes is calculated with the delay difference of each code using the correlation values stored in the memory.

5. A method as claimed in claim 4, **characterized** in that the  
35 mutual cross-correlations with different delay values, on the accuracy of spreading code bit duration, between the codes of a known code set are

stored in advance in the memory (314) of the receiver, and if a delay difference is between two pre-stored values, the correct value is obtained by interpolation.

6. A method as claimed in claim 1, **characterized** in that  
5 when cancelling the effect of perceived signals from a desired signal the cross-correlation between the codes is calculated with the delay difference of each code using the correlation values stored in the memory (314).

7. A receiver in a system employing a code division multiple access method, comprising  
10 means (304) for digitizing a received transmission  
the received transmission comprising a desired signal and a plurality of interfering signals, which signals are each multiplied by a code of a known code set, and which receiver comprises  
means (312) for cancelling at least some of interfering signals from  
15 a desired signal, and  
means (316) for detecting a desired signal after the interference cancellation, **characterized** in that a receiver comprises  
means (306,310) for estimating the number of interfering signals and the codes used by them in the received transmission, and  
20 means (312) for cancelling the effect of perceived signals from a desired signal.

8. A receiver as claimed in claim 7, **characterized** in that a receiver also comprises a plurality of estimation means (306) for correlating a received transmission by a plurality matched filters with the codes of a known  
25 code set, means (310) for averaging the results obtained over a predetermined time window, means (310) for comparing the averaged outputs of filters with a predetermined threshold value, and means (310) for selecting the codes whose power exceeds the predetermined threshold value.

9. A receiver as claimed in claim 7, **characterized** in that a  
30 receiver also comprises a plurality of estimation means (306) for correlating a received transmission by a plurality of matched filters with the codes of a known code set, one sample at a time, for a predetermined time window, means (310) for arranging the results obtained sample-specifically in the order of magnitude, and means (310) for selecting the codes appearing most frequently among the strongest ones.  
35

10. A receiver as claimed in claim 7, **characterized** in that a receiver also comprises memory means (314) for storing the mutual cross-correlations between the codes of a known code set with different delay values, on the accuracy of spreading code bit duration.

1/2

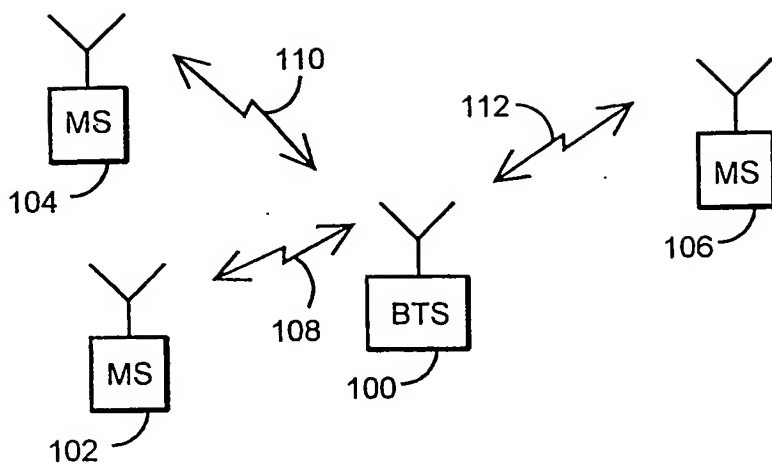


Fig. 1

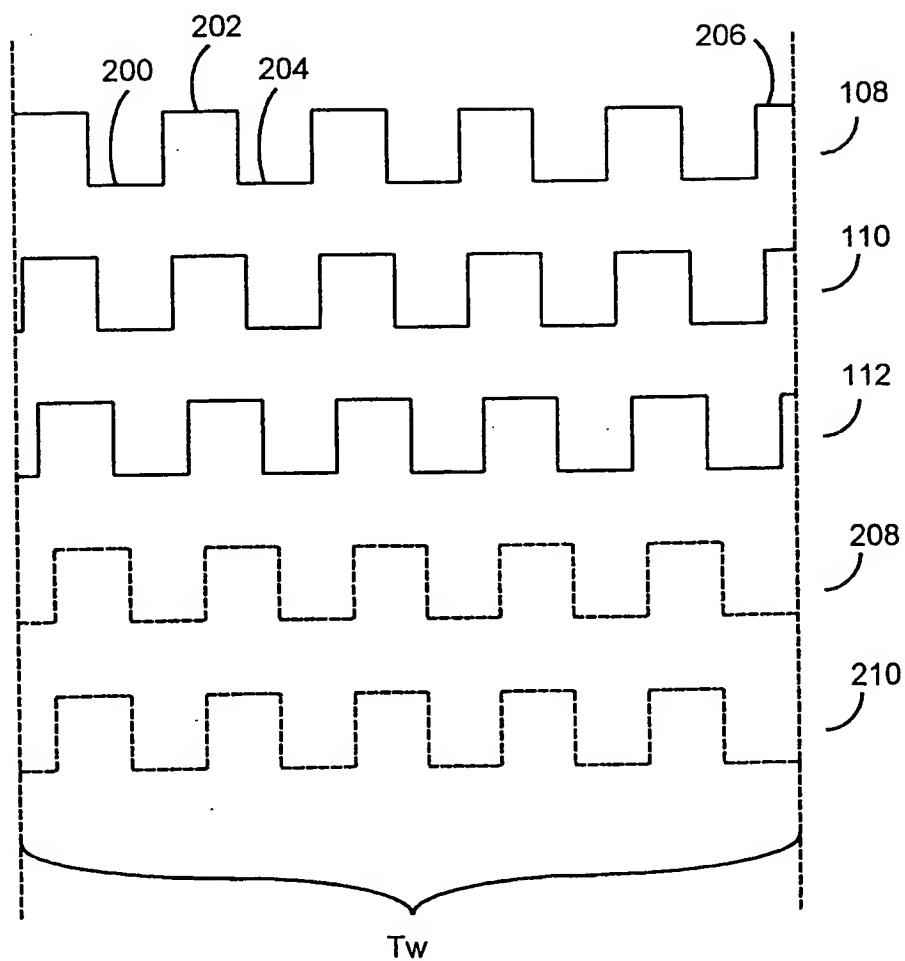


Fig. 2

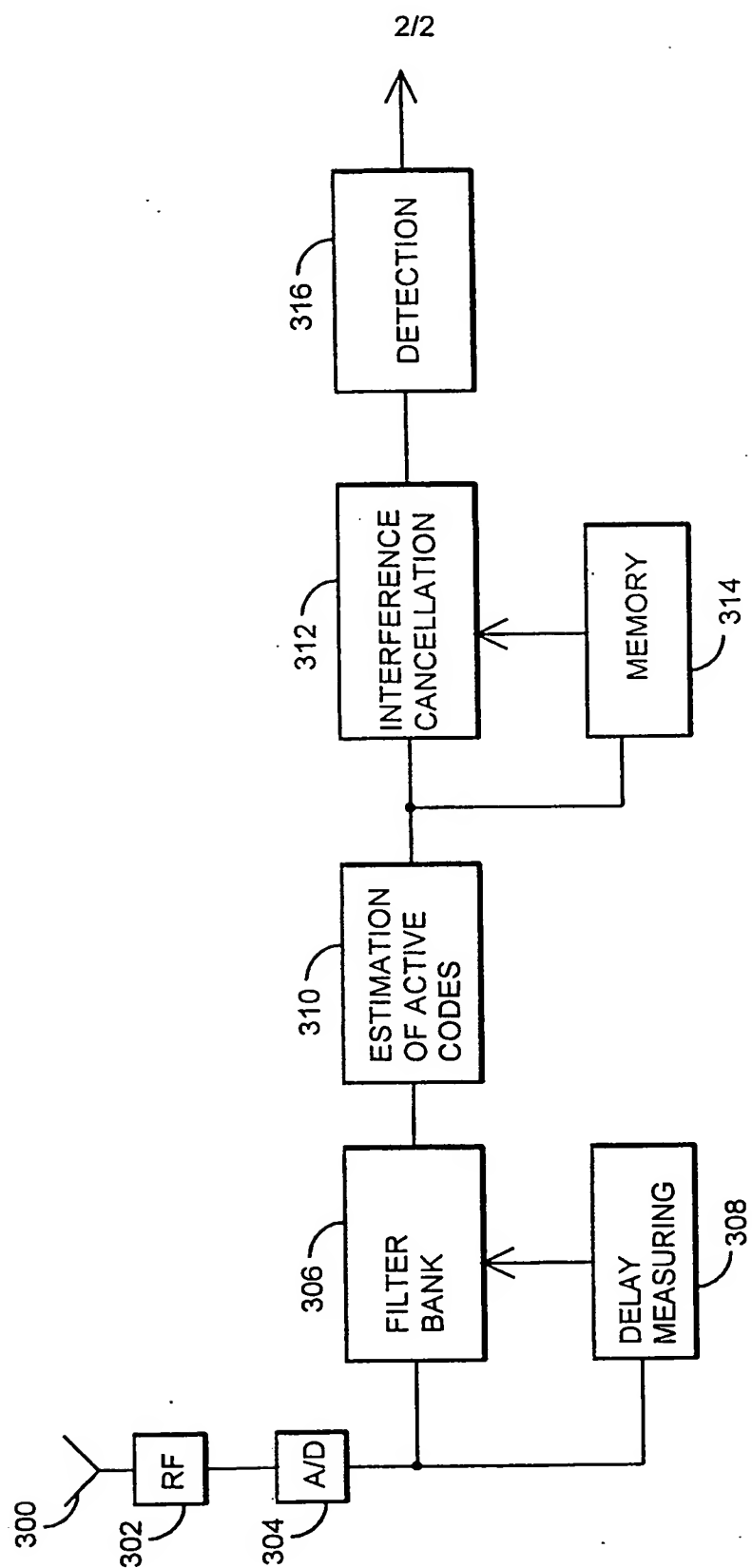


Fig. 3